2019 American Heart Association Focused Update on Neonatal Resuscitation: An Update to the American Heart Association Guidelines for Cardiopulmonary Resuscitation and Emergency Cardiovascular Care

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This 2019 focused update to the American Heart Association neonatal resuscitation guidelines is based on 2 evidence reviews recently completed under the direction of the International Liaison Committee on Resuscitation Neonatal Life Support Task Force. The International Liaison Committee on Resuscitation Expert Systematic Reviewer and content experts performed comprehensive reviews of the scientific literature on the appropriate initial oxygen concentration for use during neonatal resuscitation in 2 groups: term and late-preterm newborns (≥35 weeks of gestation) and preterm newborns (<35 weeks of gestation). This article summarizes those evidence reviews and presents recommendations. The recommendations for neonatal resuscitation are as follows: In term and late-preterm newborns (\geq 35 weeks of gestation) receiving respiratory support at birth, the initial use of 21% oxygen is reasonable. One hundred percent oxygen should not be used to initiate resuscitation because it is associated with excess mortality. In preterm newborns (<35 weeks of gestation) receiving respiratory support at birth, it may be reasonable to begin with 21% to 30% oxygen and to base subsequent oxygen titration on oxygen saturation targets. These guidelines require no change in the Neonatal Resuscitation Algorithm-2015 Update.

This 2019 focused update to the American Heart Association (AHA) neonatal resuscitation guidelines is based on the systematic review of initial oxygen concentration for term neonatal resuscitation¹ and initial oxygen concentration for preterm neonatal resuscitation² and the resulting "2019 International Consensus on Cardiopulmonary Resuscitation and Emergency Cardiovascular Care Science With Treatment Recommendations" (CoSTR) from the International Liaison Committee on Resuscitation (ILCOR) Neonatal Life Support Task Force.^{3–5}

abstract

Key Words: infant, newborn ■ infant, premature ■ oxygen ■ resuscitation

The American Heart Association makes every effort to avoid any actual or potential conflicts of interest that may arise as a result of an outside relationship or a personal, professional, or business interest of a member of the writing panel. Specifically, all members of the writing group are required to complete and submit a Disclosure Questionnaire showing all such relationships that might be perceived as real or potential conflicts of interest.

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The neonatal life support CoSTR drafts were posted online for public comment in January 2019.^{3,4} In addition, the Neonatal Life Support Task Force has an expanded international committee of experts who collaborate to enrich these recommendations with a broader debate and vision. This committee meets in person twice a year. A summary containing the final wording of the 2 CoSTR documents has been published simultaneously with this focused update.⁵

AHA guidelines for cardiopulmonary resuscitation and emergency cardiovascular care are developed in concert with the ILCOR systematic review process. In 2015, the 5-year ILCOR evidence evaluation cycle transitioned to a continuous one, with systematic reviews performed as newly published evidence warrants or when the ILCOR Neonatal Life Support Task Force prioritizes a topic. The AHA writing group then reviews the evidence and updates the AHA guidelines as needed, typically on an annual basis. A description of the evidence review process is available in the 2017 CoSTR summary.⁶ The ILCOR systematic review process uses the Grading of **Recommendations Assessment**, Development, and Evaluation methodology⁷ and its associated nomenclature to determine the certainty of evidence and strength of recommendations for the CoSTR.

The AHA writing group for this 2019 focused update to the neonatal life support guidelines reviewed the studies and analyses of the 2018 ILCOR systematic reviews^{1,2} and carefully considered the 2019 ILCOR Neonatal Task Force CoSTR⁵ in the context of North American systems of care, levels of resource availability, and varied providers who follow AHA guidelines. In addition, the AHA writing group determined the Classes of Recommendation and Levels of Evidence according to the recommendations of the American College of Cardiology/AHA Task Force on Clinical Practice Guidelines⁸ (Table) by using the process detailed in the "2015 American Heart Association Guidelines Update for Cardiopulmonary Resuscitation and Emergency Cardiovascular Care."⁹

BACKGROUND

Although hypoxia and ischemia can injure multiple organs, adverse biochemical and physiologic outcomes also may result from even brief exposure to excessive oxygen during and after resuscitation.¹⁰ In addition, preterm neonates are more susceptible than term neonates to clinical morbidities related to excessive oxygen exposure such as bronchopulmonary dysplasia, retinopathy of prematurity, and other important outcomes considered in the evidence review.^{11,12} Consequently, separate CoSTRs were developed for term and late-preterm $(\geq 35$ weeks of gestation) newborns and for preterm (<35 weeks of gestation) newborns, reflecting differing indications for resuscitation, types of interventions, and outcomes of interest.^{3,4}

The question of which initial oxygen concentration to use during resuscitation of term neonates was last reviewed by ILCOR in 2010.¹³ The original AHA guidelines for oxygen use during neonatal resuscitation¹⁴ were based on expert opinion and common practice and recommended the use of 100% oxygen for all newborns receiving respiratory support. Subsequent evidence from both animal and human studies has led to modifications of these recommendations. In 1998, the World Health Organization recommended 21% oxygen for basic newborn resuscitation when supplementary oxygen was not available.¹⁵ Studies of normal transition after birth led to a recommendation that blended oxygen be titrated to achieve an oxygen saturation that is reflective of that observed in healthy babies born

at term (ie, targeted saturation).^{16,17} On the basis of studies that showed a lack of benefit of 100% oxygen for short-term respiratory outcomes and a decrease in mortality for term infants resuscitated with 21% oxygen, the ILCOR 2010 CoSTR¹³ and AHA neonatal resuscitation guidelines¹⁸ recommended the use of 21% oxygen to initiate positive-pressure ventilation for term infants.

The question of which initial oxygen concentration to use during the resuscitation of preterm neonates was last reviewed by ILCOR in 2015.¹⁹ Most studies of preterm infants available at that time compared the use of high (60% to 100%) and low (21% to 30%) oxygen concentration and found no benefit from the use of high oxygen concentration for any of the outcomes of interest. This resulted in a recommendation for initiating resuscitation of preterm infants with a low oxygen concentration, as well as a specific recommendation against initiating resuscitation of preterm infants with high oxygen concentrations.²⁰ These recommendations reflected the value placed by the Neonatal Task Force on not exposing preterm infants to additional oxygen without proven benefit for critical or important outcomes.

The 2018 ILCOR systematic reviews addressed the use of lower initial oxygen concentrations compared with higher initial oxygen concentrations in both term¹ and preterm² neonatal resuscitation by using the Grading of Recommendations Assessment, Development, and Evaluation evidence evaluation methodology.⁷

INITIAL OXYGEN CONCENTRATION: TERM AND LATE-PRETERM NEWBORNS (≥35 WEEKS OF GESTATION)

Evidence Summary—Updated 2019

The 2018 ILCOR systematic review¹ compared the outcomes of term and

Table Applying Class of Recommendation and Level of Evidence to Clinical Strategies, Interventions, Treatments, or Diagnostic Testing in Patient Care (Updated August 2015)*

| CLASS (STRENGTH) OF RECOMMENDATION | | LEVEL (QUALITY) OF EVIDENCE‡ | | | | |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------|--|--|--|
| CLASS 1 (STRONG) | Benefit >>> Risk | LEVEL A | 2013년 국가 문제 이상의 | | | |
| Suggested phrases for writing recommendations: Is recommended Is indicated/useful/effective/beneficial Should be performed/administered/other | | High-quality evidence‡ from more Meta-analyses of high-quality RC One or more RCTs corroborated b | Гѕ | | | |
| Comparative-Effectiveness Phrases†: — Treatment/strategy A is recommended/indicated | l in preference to | LEVEL B-R | (Randomized) | | | |
| treatment B — Treatment A should be chosen over treatment B | | Moderate-quality evidence‡ from Meta-analyses of moderate-quality | | | | |
| CLASS 2a (MODERATE) | Benefit >> Risk | LEVEL B-NR | (Nonrandomized) | | | |
| Suggested phrases for writing recommendations: Is reasonable Can be useful/effective/beneficial Comparative-Effectiveness Phrases†: Treatment/strategy A is probably recommended/indicated in | | Moderate-quality evidence‡ from executed nonrandomized studies, studies Meta-analyses of such studies | | | | |
| preference to treatment B – It is reasonable to choose treatment A over treat | | LEVEL C-LD | (Limited Data) | | | |
| CLASS 2b (WEAK) Benefit ≥ Risk | | Randomized or nonrandomized ob limitations of design or execution Meta-analyses of such studies | oservational or registry studies with | | | |
| Suggested phrases for writing recommendations: • May/might be reasonable | | Physiological or mechanistic studi | ies in human subjects | | | |
| May/might be considered Usefulness/effectiveness is unknown/unclear/unce | rtain or not well- | LEVEL C-EO | (Expert Opinion) | | | |
| established | | Consensus of expert opinion base | d on clinical experience | | | |
| CLASS 3: No Benefit (MODERATE) | Benefit = Risk | COR and LOE are determined independently (| any COR may be paired with any LOE). | | | |
| (Generally, LOE A or B use only) Suggested phrases for writing recommendations: • Is not recommended | | A recommendation with LOE C does not imply important clinical questions addressed in guid trials. Although RCTs are unavailable, there m particular test or therapy is useful or effective | delines do not lend themselves to clinical analysis and the second second second second second second second se | | | |
| Is not indicated/useful/effective/beneficial Should not be performed/administered/other | | * The outcome or result of the intervention outcome or increased diagnostic accurac | | | | |
| Class 3: Harm (STRONG) | Risk > Benefit | + For comparative-effectiveness recommer studies that support the use of comparate of the treatments or strategies being eval | or verbs should involve direct compariso | | | |
| Suggested phrases for writing recommendations: Potentially harmful Causes harm Associated with success perchidity/pertaility | | The method of assessing quality is evolvi dardized, widely-used, and preferably val systematic reviews, the incorporation of a | ng, including the application of stan- lidated evidence grading tools; and for | | | |
| Associated with excess morbidity/mortalityShould not be performed/administered/other | | COR indicates Class of Recommendation; EO, of Evidence; NR, nonrandomized; R, randomiz | | | | |

late-preterm newborns (\geq 35 weeks of gestation) who received respiratory support after birth that used either 21% or 100% oxygen because no identified studies evaluated intermediate concentrations (between 22% and 99%, inclusive). The complete review included 10 original studies and 2 follow-up studies involving

2164 newborns. Three of the original studies were included only in sensitivity analyses because they were determined to have a critical risk of bias. In total, 7 randomized controlled trials (RCTs) and quasi-RCTs enrolling 1469 term and late-preterm newborns were included in the primary meta-analysis.12,21-26

All 7 included trials evaluated the outcome of short-term mortality, defined as mortality either in the hospital or within 30 days. In the meta-analysis, the summary relative risk (RR) of short-term mortality was lower in the 21% oxygen group (RR, 0.73 [95% CI, 0.57–0.94]).¹ This estimate was of low-level certainty because of the risk of bias and imprecision.

Two studies examined the outcome of neurodevelopmental impairment in survivors at 1 to 3 years of age.^{25,27} The pooled estimate showed no statistically significant difference in risk between the 21% and 100% oxygen groups (RR, 1.41 [95% CI, 0.77–2.60]).¹ Five studies examined the outcome of hypoxic-ischemic encephalopathy,^{21,22,24–26} defined as Sarnat stage 2 or 3.²⁸ Again, there was no statistically significant difference between the 21% and 100% oxygen groups (RR, 0.90 [95% CI, 0.71–1.14]).¹ No identified studies evaluated all-cause long-term mortality. Collectively, the studies were downgraded for risk of bias and imprecision and assigned as evidence of low certainty with respect to hypoxic-ischemic encephalopathy and very low certainty for long-term neurodevelopmental impairment.

Recommendations—Updated 2019

- 1. In term and late-preterm newborns (≥35 weeks of gestation) receiving respiratory support at birth, the initial use of 21% oxygen is reasonable (Class 2a; Level of Evidence B-R).
- 2. One hundred percent oxygen should not be used to initiate resuscitation because it is associated with excess mortality (Class 3: Harm; Level of Evidence B-R).

The current recommendations affirm the 2010¹⁸ and 2015 AHA guidelines²⁰ and extend the recommendation against starting ventilation with 100% oxygen to term and late-preterm newborns. This is based on the large undesirable effect on short-term mortality associated with high initial oxygen concentration and the value attached to this outcome by parents and clinicians. Ambient air (21% oxygen) is available in all low- and wellresourced settings. Despite the lack of published economic analyses, there is likely to be greater feasibility and lower cost when resuscitation is initiated without added oxygen. Although evidence is still lacking on titration to achieve oxygen saturation targets, the use of preductal oxygen saturation targeting approximating the interquartile range measured in healthy term infants after vaginal birth at sea level is consistent with the high value placed on avoiding excessive oxygen exposure.

DISCUSSION

The 2010¹⁸ and 2015 AHA guidelines for neonatal resuscitation²⁰ supported the initial use of 21% oxygen with subsequent supplementary oxygen use guided by target oxygen saturations measured by pulse oximetry in term and latepreterm newborns. At the time, these guidelines represented a departure from the decades-long use of 100% oxygen for all newborns receiving respiratory support. The guidelines were informed by 2 systematic reviews with meta-analyses.^{29,30} The pooled estimates from these reviews reported lower mortality, fewer infants with time to first breath >3minutes, and fewer infants with Apgar scores <7 at 5 minutes when 21% compared with 100% oxygen was used for initial mask ventilation. All studies included in these reviews were conducted >10 years ago, when pulse oximetry and oxygen titration were not routine. It remains unclear whether low versus high initial oxygen concentration will have the same result with oxygen titration as a cointervention.

The 2018 ILCOR systematic review and meta-analysis involved 1469 neonates \geq 35 weeks of gestation enrolled in 7 randomized and quasirandomized studies and reported a 27% relative survival benefit and a 4.6% absolute survival benefit (short-term) when 21% oxygen was compared with 100% oxygen for initial mask ventilation.¹ These benefits corresponded to 1 additional survivor (short-term) for 22 infants receiving 21% oxygen instead of 100% oxygen at birth. The Grading of **Recommendations Assessment**, Development, and Evaluation certainty of evidence was low for short-term mortality and hypoxicischemic encephalopathy and very low for long-term neurodevelopmental impairment. Furthermore, no studies were identified for the outcome of allcause long-term mortality. All included studies compared 21% with 100% initial oxygen concentration. No studies were identified that compared intermediate oxygen concentrations, and no studies compared oxygen concentrations used during chest compressions.

The 2018 ILCOR systematic review and meta-analysis¹ confirmed a significant reduction in the critically important outcome of short-term mortality, without statistically significant differences in short- and long-term neurologic outcomes, with the use of initial 21% oxygen compared with 100% oxygen for term and late-preterm newborns $(\geq 35$ weeks of gestation) receiving respiratory support at birth. The authors estimated that 46 of 1000 fewer babies died when respiratory support at birth was started with 21% compared with 100% oxygen (95% CI, 73/1000 fewer-10/1000 fewer). As a result, the previous recommendations in the 2010 and 2015 AHA guidelines^{18,20} are affirmed and extended to recommend against starting ventilation with 100% oxygen.

INITIAL OXYGEN CONCENTRATION: PRETERM NEWBORNS (<35 WEEKS OF GESTATION)

Evidence Summary—Updated 2019

The 2018 ILCOR systematic review compared several outcomes of preterm newborns (<35 weeks of gestation) who received respiratory support immediately after birth with

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|--------------------------------------------|---------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------|---------------------------------------|--------------------------------------------------------------------------------------------------|----------------------|------------------------------------|---------------------------------------|
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the use of a low initial oxygen concentration (\leq 50%) compared with a high initial oxygen concentration (>50%).² The reviewers identified 16 eligible studies enrolling 5697 newborns, including 10 RCTs,^{11,31-39} 2 follow-up studies,^{40,41} and 4 observational cohort studies.⁴²⁻⁴⁵ Low initial oxygen was defined as 21% in 5RCTs,^{31,33,34,36,39} 30% in 4 RCTs,^{11,35,37,38} and 50% in 1 RCT.³² Oxygen saturation targeting was a cointervention in 8 RCTs^{11,33-39} and in all 4 cohort studies. $^{\rm 42-45}$ When oxygen saturation targeting was used, nearly all newborns randomized to initiate resuscitation with 21% oxygen required supplementary oxygen to achieve the specified target. Because oxygen saturation targeting resulted in rapid changes in inspired oxygen concentrations, the subjects enrolled in these trials were exposed to different oxygen concentrations for only the first 5 to 7 minutes of life.

The pooled estimate of 10 RCTs enrolling 968 preterm newborns showed no statistically significant difference in the outcome of all-cause short-term mortality (hospital discharge or 30 days) when respiratory support initiated with a lower oxygen concentration was compared with support initiated with a higher oxygen concentration (RR, 0.83 [95% CI, 0.50–1.37]).² In a subgroup analysis of 7 RCTs^{11,33,34,36–39} enrolling 467 newborns \leq 28 weeks of gestation, there was no significant difference in short-term mortality (RR, 0.92 [95% CI, 0.42–1.94]).²

Similarly, the ILCOR systematic review found no differences in any of the prespecified secondary outcomes, including long-term mortality, longterm neurodevelopmental impairment, retinopathy of prematurity, necrotizing enterocolitis, bronchopulmonary dysplasia, or major (grade III or IV) intraventricular hemorrhage.² White matter injury of prematurity was not included as a secondary outcome. Additional subgroup analyses that assessed the effect of varying the definition of low and high oxygen concentration, the risk of bias, and the use of oxygen saturation targeting as a cointervention found no differences in primary or secondary outcomes. When data from 2 observational cohort studies were pooled,^{44,45} initiating resuscitation with lower oxygen was associated with a statistically significant benefit in long-term mortality for all preterm newborns and the subgroup of newborns ≤ 28 weeks of gestation.²

Most of the studies included in the ILCOR systematic review were judged to have an unclear risk of bias because of imprecision, inconsistency, and lack of blinding of interventions and outcomes.² As a result of the unclear risk of bias and the small number of very preterm newborns

enrolled in the randomized trials, there was very low certainty for all outcome estimates, and the benefit or harm from initiating positivepressure ventilation with low compared with high oxygen concentrations remains inconclusive. Large randomized trials enrolling very preterm newborns are needed to achieve the optimal information size. Furthermore, scant evidence exists on the use of intermediate oxygen concentrations (30% to 60%).

Recommendation—Updated 2019

1. In preterm newborns (<35 weeks of gestation) receiving respiratory support at birth, it may be reasonable to begin with 21% to 30% oxygen with subsequent oxygen titration based on pulse oximetry (Class 2b; Level of Evidence C-LD).

The current recommendation remains consistent with the 2015 AHA guidelines update.²⁰ Given that nearly all trials included in the 2018 ILCOR review defined low initial oxygen as 21% to 30% oxygen,² the current recommendation suggests this as a reasonable initial oxygen concentration. In this recommendation, high value is placed on avoiding additional oxygen exposure without evidence of benefit for critical or important outcomes. The writing group acknowledges that

| | | Revi | ewer Disclosu | res | | | | |
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although the evidence identified in the 2018 ILCOR review was weak and uncertain, it also showed no statistically significant difference in outcomes when low versus high initial oxygen concentration was chosen for preterm resuscitation at birth. In the absence of a new evidence review for subsequent oxygen titration, it remains prudent to continue to titrate oxygen concentrations to achieve preductal oxygen saturation approximating the interquartile range measured in healthy term infants after vaginal birth at sea level, as recommended in the 2015 AHA guidelines update.²⁰

Discussion

The 2015 AHA guidelines update for neonatal resuscitation recommended that resuscitation of preterm newborns <35 weeks of gestation should be initiated with low oxygen (21% to 30%) and that the oxygen concentration should be titrated to achieve a preductal oxygen saturation approximating the interquartile range measured in healthy term infants after vaginal birth at sea level.²⁰

Since the release of the 2015 guidelines, new data have been published on the initial oxygen concentration used in the delivery room for preterm infants (<35 weeks of gestation), prompting the ILCOR Neonatal Life Support Task Force to complete a new systematic review of the available evidence.² Of particular concern was the recent publication of the To2rpido RCT (Targeted Oxygen in the Resuscitation of Preterm Infants and Their Developmental Outcomes).³⁹ In a subgroup analysis of preterm infants <28 weeks of gestation, the To2rpido investigators reported that the use of 21% oxygen for initial positive-pressure ventilation, compared with 100% oxygen, increased the risk of death before hospital discharge (RR, 3.9 [95% CI, 1.1-13.4]).³⁹ However, the ILCOR systematic review identified

significant concerns about the risk of bias in this study, including very limited enrollment, early study termination, lack of investigator equipoise, use of an unblinded intervention, and increased risk seen only in a post hoc subgroup analysis.² Because the review and meta-analysis found no difference in any primary or secondary outcomes with the To2rpido trial included, the recommendation that resuscitation of preterm newborns should begin with low oxygen with subsequent titration to meet goal saturations remains unchanged. This reflects a continued preference to avoid exposing preterm newborns to additional oxygen without evidence demonstrating a benefit for critical or important outcomes. Important knowledge gaps remain in the understanding of oxygen use for positive-pressure ventilation among term, late-preterm, and preterm newborns after birth. Additional research is needed to evaluate the role of intermediate oxygen concentrations for the initiation of positive-pressure ventilation and to define the most appropriate oxygen saturation targets. Many subpopulations of newborns (eg, newborns with congenital heart disease and other malformations) have not been adequately studied, and many outcomes (eg, white matter injury of prematurity) have not been fully assessed. These newborns and their outcomes may be affected by either hypoxemia or hyperoxemia. Until reliable data on a specific population or outcome are available, the consistent and practical educational approach will be to manage them according to the guidelines for the wider population of preterm and term newborns requiring resuscitation.

SUMMARY

This review of the initial use of oxygen in newborns receiving

respiratory support at birth remains consistent with the 2015 AHA neonatal resuscitation guidelines.²⁰ In term and late-preterm newborns (\geq 35 weeks of gestation), the initial use of 21% oxygen is reasonable (*Class 2a; Level of Evidence B-R*). In term and late-preterm newborns, the initial use of 100% oxygen is not recommended (*Class 3: Harm; Level of Evidence B-R*).

In preterm newborns (<35 weeks of gestation), starting with 21% to 30% oxygen with subsequent targeted titration of supplementary oxygen may be reasonable (*Class 2b; Level of Evidence C-LD*). These guidelines do not alter the Neonatal Resuscitation Algorithm–2015 Update.^{19,20}

Knowledge gaps for term, latepreterm, and preterm newborn resuscitation include the following: (1) uncertainty about the impact of changes in umbilical cord management; (2) uncertainty about the impact of changes in oxygen saturation monitoring and targeted titration of inspired oxygen; (3) uncertainty about the effects of intermediate initial inspired oxygen concentrations; (4) uncertainty about whether a single initial oxygen concentration is optimal for newborns with varying pathology or conditions such as antenatal fetal distress at any given gestational age; and (5) uncertainty about the impact of lower initial oxygen use on neurodevelopmental outcomes in preterm newborns. With so many unanswered questions, it is expected that future scientific evidence will provide new insights, and guideline updates will be required.

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